

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	' FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/775,040	02/01/2001	Mathias Bischoff	GR 00 P 1078 US	GR 00 P 1078 US 8362	
7	590 05/31/2005	EXAMINER			
LERNER AND GREENBERG, P.A. POST OFFICE BOX 2480			PHAN, HANH		
	D, FL 33022-2480		ART UNIT	PAPER NUMBER	
			2633		
			DATE MAILED: 05/31/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

- 1
(AL
(I)

	Application No.	Applicant(s)			
	09/775,040	BISCHOFF, MATHIAS			
Office Action Summary	Examiner	Art Unit			
	Hanh Phan	2633			
The MAILING DATE of this communication apportunity  Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	6(a). In no event, however, may a reply be tim within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 01 Fe	bruary 2001.				
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.				
3) Since this application is in condition for allowan	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.			
Disposition of Claims					
4) Claim(s) <u>1-16 and 20-24</u> is/are pending in the a	application.				
4a) Of the above claim(s) is/are withdraw	n from consideration.				
5)⊠ Claim(s) <u>20 and 21</u> is/are allowed.					
6)⊠ Claim(s) <u>1-16 and 22-24</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement.				
Application Papers					
9) The specification is objected to by the Examine	r.				
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the o					
Replacement drawing sheet(s) including the correcti					
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:					
<ol> <li>Certified copies of the priority documents have been received.</li> </ol>					
2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of	or the certified copies not receive	cu.			
Attachment(s)					
1) 🔯 Notice of References Cited (PTO-892)	4) Interview Summary				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	Paper No(s)/Mail Da 5) Notice of Informal P	ate 'atent Application (PTO-152)			
Paper No(s)/Mail Date	6) Other:	4.L			

Art Unit: 2633

#### **DETAILED ACTION**

- 1. This Office Action is responsive to the RCE filed on 05/17/2005.
- 2. In claim 21, line 19, the phrase "a number user devices" should be changed to a number of user devices --
- 3. In claim 22, line 19, the phrase "a number user devices" should be changed to a number of user devices --.

### Claim Rejections - 35 USC § 112

- 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 5. Claims 22 and 23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

-In claim 22, lines 25 and 26, the phrase "feeding wavelength division multiplex signals into a user device configured for connecting to the access node" is not clear. How to feed wavelength division multiplex signals into a user device configured for connecting to the access node.

-In claim 23, lines 24 and 25, the phrase "feeding wavelength division multiplex signals into a user device configured for connecting to the access

Art Unit: 2633

**node**" is not clear. How to feed wavelength division multiplex signals into a user device configured for connecting to the access node.

### Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 1-9, 11, 12, 14-16 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ushirozawa (US Patent No. 6,137,613) in view of Sharma et al (US Patent No. 5,717,795).

Regarding claims 1, 16 and 24, referring to Figures 3-6, Ushirozawa discloses an access node for optical networks with variable access wavelengths, comprising:

a plurality of first optical conductors (Fig. 3) each disposed to connect a respective user device (i.e., user devices such as data signal 1-H to N-H, Fig. 3);

at least one second optical conductor (Fig. 3) for connecting the access node to an optical network; and

a plurality of light sources (i.e., light sources 2-1 to 2-N, Fig. 3) emitting unmodulated light signals at wavelengths of the optical network and connected to the first optical conductors for feeding the unmodulated light signals to optical modulators 41 to 4N such that the unmodulated light signals of the light sources can be modulated

Art Unit: 2633

by the user devices (i.e., user devices such as data signal 1-H to N-H) (see col. 4, lines 53-67 and col. 5, lines 1-17).

Ushirozawa differs from claims 1, 16 and 24 in that he does not specifically teach the optical modulators are disposed in the user devices. Ushirozawa teaches the optical modulators 41 to 4N are disposed in the access node. However, Sharma in US Patent No. 5,717,795 teaches optical modulators are disposed in the user devices (Fig. 4, col. 5, lines 45-67 and col. 6, lines 1-36). Therefore, it would have been obvious to one having skill in the art at the time the invention was made to incorporate the optical modulators are disposed in the user devices as taught by Sharma in the system of Ushirozawa. One of ordinary skill in the art would have been motivated to do this since Sharma suggests in column 5, lines 45-67 and col. 6, lines 1-36 that using such the optical modulators are disposed in the user devices have advantage of increasing the signal quality and reducing the cost of device.

Regarding claim 2, Ushirozawa further teaches at least one second optical conductor is one of a plurality of optical conductors connecting the access node to the optical network (Fig. 3).

Regarding claims 3 and 4, the combination of Ushirozawa and Sharma teaches the light sources are lasers (Fig. 4 of Sharma).

Regarding claims 5 and 6, the combination of Ushirozawa and Sharma teaches optical coupling elements disposed between the light sources and the first optical conductors (Fig. 4 of Sharma).

Art Unit: 2633

Regarding claims 7 and 8, the combination of Ushirozawa and Sharma teaches a first switching matrix connected between the light sources and the first optical conductors (Fig. 3 of Ushirizawa).

Regarding claims 9 and 11, the combination of Ushirozawa and Sharma teaches a signal processing block with optical wavelength division multiplexers connected between the first optical conductors and the second optical conductors (Fig. 3 of Ushirozawa and Fig. 4 of Sharma).

Regarding claim 12, the combination of Ushirizawa and Sharma teaches the at least one additional signal processing unit is selected from the group consisting of a switching matrix, an optical switch, an optical amplifier, and an optical monitoring device (Figs. 3-6 of Ushirizawa and Fig. 4 of Sharma).

Regarding claims 14 and 15, the combination of Ushirizawa and Sharma teaches the user device comprising a circulator and a modulator to be connected to an information source (Fig. 10 of Sharma).

8. Claims 1-16 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brock et al (US Patent No. 5,870,216) in view of Sharma et al (US Patent No. 5,717,795).

Regarding claims 1, 16 and 24, referring to Figures 7-12, Brock discloses an access node for optical networks with variable access wavelengths, comprising:

Art Unit: 2633

a plurality of first optical conductors (Fig. 7) each disposed to connect a respective user device (i.e., user devices such as RF input 1, RF input 2,..., RF input N, Fig. 7);

at least one second optical conductor (Fig. 7) for connecting the access node to an optical network; and

a plurality of light sources (i.e., light sources 122a to 122N, Fig. 7) emitting unmodulated light signals at wavelengths of the optical network and connected to the first optical conductors for feeding the unmodulated light signals to optical modulators 124a to 124N such that the unmodulated light signals of the light sources can be modulated by the user devices (i.e., user devices such as RF input 1, RF input 2,..., RF input N (see col. 9, lines 57-67 and col. 10, lines 1-52).

Brock differs from claims 1, 16 and 24 in that he does not specifically teach the optical modulators are disposed in the user devices. Brock teaches the optical modulators 41 to 4N are disposed in the access node. However, Sharma in US Patent No. 5,717,795 teaches optical modulators are disposed in the user devices (Fig. 4, col. 5, lines 45-67 and col. 6, lines 1-36). Therefore, it would have been obvious to one having skill in the art at the time the invention was made to incorporate the optical modulators are disposed in the user devices as taught by Sharma in the system of Brock. One of ordinary skill in the art would have been motivated to do this since Sharma suggests in column 5, lines 45-67 and col. 6, lines 1-36 that using such the optical modulators are disposed in the user devices have advantage of increasing the signal quality and reducing the cost of device.

Art Unit: 2633

Regarding claim 2, Brock further teaches at least one second optical conductor is one of a plurality of optical conductors connecting the access node to the optical network (Fig. 7).

Regarding claim 3, Brock further teaches the light sources are lasers (Fig. 7).

Regarding claim 4, Brock further teaches the light sources are laser arrays (Fig. 7).

Regarding claims 5 and 6, Brock further teaches optical coupling elements disposed between the light sources and the first optical conductors (Fig. 7).

Regarding claims 7 and 8, Brock further teaches a first switching matrix (126)(Fig. 1) connected between the light sources and the first optical conductors.

Regarding claims 9 and 11, Brock further teaches a signal processing block with optical wavelength division multiplexers connected between the first optical conductors and the second optical conductors (Fig. 9).

Regarding claims 10 and 13, Brock further teaches a signal block switching matrix disposed between the first optical conductors and the signal processing block (Figs. 9-12).

Regarding claim 12, Brock further teaches the at least one additional signal processing unit is selected from the group consisting of a switching matrix, an optical switch, an optical amplifier, and an optical monitoring device (Figs. 9-12).

Regarding claims 14 and 15, the combination of Brock and Sharma teaches the user device comprising a circulator and a modulator to be connected to an information source (Fig. 10 of Sharma).

Art Unit: 2633

### Allowable Subject Matter

8. -Claims 20 and 21 are allowed.

-Claims 22 and 23 are allowed if the 112 rejection is overcome.

## Response to Arguments

9. Applicant's arguments with respect to claims 1-16 and 20-24 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hanh Phan whose telephone number is (571)272-3035.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Chan, can be reached on (571)272-3022. The fax phone number for the organization where this application or proceeding is assigned is (703)872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-4700.

HANH PHAN PRIMARY EXAMINER